



QUANTIC

INVESTMENT SUITABILITY QUESTIONNAIRE

Corporate Applicant

November 2018
version: 1.7

Investment Suitability Questionnaire

Thank you for considering opening an account with Quantic - a trading name of AFX Capital Markets Ltd.

As part of your application, and to fulfil our regulatory obligations, this Questionnaire has been designed to enable us to gain a better understanding of you and your tolerance for investment risk, to assess whether the products we offer are ones which may be suitable for you.

It is essential that you complete this fully, honestly and to the best of your knowledge. Please consider each question carefully selecting the answer which most closely fits your current circumstances. Should you have any questions regarding the meaning of the questions asked, please contact us prior to answering as it is important to us and for you, that we get the most accurate information. It is also important that should an account be opened for you, that you updated us to any changes which may affect the way you would complete this questionnaire to ensure the products continued suitability.

Instructions for completing this form:

- Please complete every section of this form.
- Where questions provide options, please select the answer(s) which most accurately reflect your situation.
- Take your time and think about each question carefully.
- Please sign, date and return to backoffice@quantic-am.com on completion.
- We may contact you to clarify some of your answers if necessary. Please do not be concerned as this is part of our normal procedure.

Section 1: Basic Information

COMPANY NAME:	
REGISTER N°:	
CITY:	COUNTRY:
E-MAIL:	
LEGAL REPRESENTATIVE (s)	
FIRST NAME:	LAST NAME:
PASSPORT NUMBER:	COUNTRY OF ISSUE:
COUNTRY OF RESIDENCE:	
E-MAIL:	
FIRST NAME:	LAST NAME:
PASSPORT NUMBER:	COUNTRY OF ISSUE:
COUNTRY OF RESIDENCE:	
E-MAIL:	

Section 2: Company Income / Sezione 2: Reddito aziendale

Where currency is quoted as Euro's – equivalent local currency should be calculated at current exchange rate.

Q1. What is the Company's net annual income?

- Less than €30,000
- Between €30,000 and €50,000
- Between €50,001 and €100,000
- More than €100,000

Q2. What is the total value of the Company's assets? (Asset value – Loans – Remaining mortgage)

- Less than €100,000
- Between €100,000 and €300,000 /
- Between €300,001 and €500,000
- More than €500,000

Q3. What percentage of the Company's income does the Company currently save?

- Less than 2%
- Between 2% and 7%
- Between 7% and 12%
- More than 12%

Q4. What do you expect to happen to the Company's earned income over the next five years?

- Decrease
- Rise in line with inflation
- Rise modestly above inflation
- Rise significantly above inflation

Q5. How will the Company fund the portfolio?

- Savings
- Portion of Salary
- Inheritance
- Return from other Investments
- Other (please specify)

Q6. What percentage of the Company's total assets would the Company portfolio with AFX be?

- Less than 20%
- Between 20% and 40%
- Between 40% and 60%
- More than 60%

Section 3: Risk Tolerance & Investment Horizon

Q7. How would you describe the Company risk tolerance?

- no exposure to market risk
- low exposure to market risk
- moderate exposure to market risk
- high exposure to market risk

Q8. What are the Company's Investment Objectives?

- Preserve Capital
- Sale investment with regular income
- Medium Term Growth
- High performance achieved by speculative actions

Q9. What is the Company Investment Time Horizon (Time to reach your goal) ?

- short term (1 – 3 Years)
- Medium (4 – 6 Years)
- Long (7 Years +)
- Multi-stage (more than one goal e.g. purchase new home in x years, retire in y years)

Q10. If you answered Multi-stage in the previous question, how long will it take to reach the Company primary goal?

- Within 1 – 3 years
- Between 3 Years and 8 Years
- Between 8 Years and 18 Years
- 18 Years +

Q11. If you answered Multi-stage in the Q9, how long will it take to reach the Company secondary goal?

- Within 1 – 3 years
- Between 3 Years and 8 Years
- Between 8 Years and 18 Years
- 18 Years +

Q12. Based on the Company's current and estimated future income needs, what percentage of investment earnings do you think the Company would be able to reinvest?

- Reinvest 80%+ of investment earnings
- Reinvest 30% - 79% of investment earnings
- Reinvest 0 to 29% of investment earnings
- Investments earnings will not be reinvested

Section 4: Expectations / Sezione 4: Aspettative

Q13. What is the Company's expected yearly return for investments?

- Under 4%
- Between 4% and 8%
- Between 8% and 15%
- Over 15%

Q14. If the goals for the portfolio are not achieved by next year, what percentage of the portfolio would the Company withdraw?

- None
- Under 20%
- Between 20% and 50%
- Between 50% and 100%

Q15. Which of the following examples most represent the Company's preference regarding the potential profit/loss of your portfolio?

- Potential Loss - 0% / Potential Profit - 3%/
- Potential Loss - 5% / Potential Profit - 10% /
- Potential Loss - 10% / Potential Profit - 15% /
- Potential Loss - 20% / Potential Profit - 35%/

Section 5: Experience and Current Investments

Q16. How would the Company describe the current investment knowledge?

- None/Limited/Basic Experience
- Experience of mainstream financial products
- Experience of most financial products finanziari
- Professional Investment or Frequent Trader

Q19. How many financial transactions has the Company carried out in the last year?

- Less than 10
- Between 10 – 50
- Between 51 – 100
- More than 101

Q17. Which of the following financial instruments has the Company experience in?

- Foreign Currency
- Shares
- Mutual Funds of Shares
- Government and Corporate Bonds
- CFDs
- Futures
- Commodities & Precious Metals
- Options or Derivatives

Q20. What was the average value of the financial transactions the Company took out in the last year?

- Less than €5,000
- Between €5,000 – €25,000
- Between €25,001 – €50,000
- More than €50,001

Q18. How many years of experience in trading and/or investment does the Company have?

- Less than a year
- Between 1 – 3 years
- Between 3 –5 years
- More than 5 years

Q21. Which of the following most accurately reflects the method of investment the Company has used within the last year?

- Execution only without advice (mainly)
- Based on investment advice received (mainly)
- Through an Asset Manager only

Account Holder / Legal Representative Signature
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Date: _____
